



1638  
#8  
AP  
2/24/02  
1096.022

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Rojas et al. Attorney Docket. No.: 1194.003US1  
Serial No.: 09/965,663 Group Art Unit: 1638  
Filed: September 26, 2001 Examiner: Not Assigned  
Title: TRANSFORMATION OF MONOCOTYLEDONOUS PLANTS USING  
AGROBACTERIUM


RECEIVED

FEB 22 2002

TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on February 8, 2002.

  
Kathy Smith Dias  
Attorney for Applicants  
Reg. No. 41,707

Date of Signature: February 8, 2002

To: Commissioner for Patents  
Washington, D.C. 20231


INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §1.56, Applicants bring to the attention of the Examiner 17 prior art references listed on the attached Information Disclosure Citation (PTO Form 1449) and copies of which are enclosed herewith.

Inasmuch as the present Information Disclosure Statement is being filed prior to issuance of a first Office Action, it is respectfully submitted that no official surcharge is required.

Respectfully submitted,

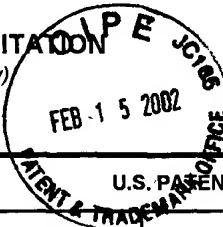
  
Kathy Smith Dias  
Attorney for Applicants  
Registration No. 41,707

Dated: February 8, 2002

HESLIN ROTHENBERG FARLEY & MESITI P.C.  
5 Columbia Circle  
Albany, New York 12203  
Telephone: (518) 452-5600  
Facsimile: (518) 452-5579

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

1194.003US1

SERIAL NO.

09/965,663

APPLICANT(S)

Rojas et al.

FILING DATE

September 26, 2001

GROUP

1638

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,780,709	07/14/98	Adams et al.	800	205	
AB	5,780,708	07/14/98	Lundquist et al.	800	205	
AC	5,773,269	06/30/98	Somers et al.	435	172.3	
AD	5,712,135	01/27/98	D'Halluin et al.	435	172.3	
AE	5,641,664	06/24/97	D'Halluin et al.	435	172.3	
AF	5,591,616	01/07/97	Hiei et al.	435	172.3	
AG	5,554,798	09/10/96	Lundquist et al.	800	205	
AH	5,550,318	08/27/96	Adams et al.	800	205	
AI	5,508,468	04/16/96	Lundquist et al.	800	205	
AJ	5,489,520	02/06/96	Adams et al.	435	172.3	
AK	5,484,956	01/16/96	Lundquist et al.	800	205	

RECEIVED  
FEB 22 2002  
TECH CENTER 1600/2900

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
BA	WO 97/06267	02/20/97	PCT			✓	
BB	PCT/JP93/00925	07/06/93	PCT				✓

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Hiei et al., "Transformation of rice mediated by Agrobacterium tumefaciens," Plant Molecular Biology, 35: 205-218, 1997.

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY DOCKET NO.

1194.003US1

SERIAL NO.

09/965,663

APPLICANT(S)

Rojas et al.

FILING DATE

September 26, 2001

GROUP

1638

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AL	5,405,765	04/11/95	Vasil et al.	435	172.3	
AM	5,187,073	02/16/93	Goldman et al.	435	172.3	
AN	5,177,010	01/05/93	Goldman et al.	435	172.3	

**RECEIVED**  
FEB 22 2002  
TECH CENTER 1600/2900

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.